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Express Mail No: **EL 477 037 351 US**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Pramod K. Srivastava

Confirmation No: 8478

Application No.: 09/625,137

Art Unit: 1642

Filed: July 25, 2000

Examiner: Bansal, G.

For: ALPHA (2) MACROGLOBULIN RECEPTOR AS A  
HEAT SHOCK PROTEIN RECEPTOR AND USES  
THEREOF

Attorney Docket No.: 8449-123-999

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## FEE TRANSMITTAL SHEET

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The fee required to be filed with the accompanying amendment of even date herewith concerning the above-identified application has been estimated to be \$0.00

The claim amendment fee has been estimated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)		SMALL ENTITY		OTHER THAN A SMALL ENTITY	
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE	ADDIT. FEE	RATE	ADDIT. FEE
TOTAL	49	MINUS	68	=	0	× 9	\$	× 18	\$
INDEP.	8	MINUS	18	=	0	× 42	\$	× 84	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						140	\$	280	\$
						TOTAL	\$	0.00	OR TOTAL \$

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.  
A copy of this sheet is enclosed.

Respectfully submitted,

Date: May 28, 2002Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)By: Eileen E. Falvey 46,097  
Eileen E. Falvey (Reg. No.)PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, N.Y. 10036-2711  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.56 AND § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicants respectfully direct the Examiner's attention to the references CU-DX listed on the attached revised form PTO 1449 entitled "Supplemental List of References Cited by Applicant." Copies of references CU-DY are submitted herewith.

Identification of the listed references is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicant submits herewith a "revised form PTO 1449" entitled "Supplemental List of References Cited by Applicant" instead of "List of Prior Art Cited."

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Applicant requests that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-identified application.

Pursuant to 37 C.F.R. § 1.97(b), since this information disclosure statement is being filed after the mailing date of a first Office Action on the merits, but before the mailing of a final Office Action or Notice of Allowance, pursuant to 37 C.F.R. § 1.97(c), a fee of \$180.00 under 37 C.F.R. § 1.17(p) is believed due. Please charge any required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: May 28, 2002

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)

By: Eileen E. Falvey 46,097  
Eileen E. Falvey (Reg. No.)

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Enclosure